

## REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b) Submit an original and a duplicate for fee processing



THIS APPLICATION: PRIOR APPLICATION: TOTAL NO.

DOCKET NUMBER FILING DATE EXAMINER ART UNIT OF PAGES

P66880US2 March 29, 2004 SHAHNAN SHAH 1645 38

Address to:

Commissioner of Patents Box Patent Application Washington, D.C. 20231

RULE 53(f) - NO FEE

This is a request for filing a [ X ] continuation or [ ] divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. <u>10/173577</u> filed on <u>June 18, 2002</u>, entitled <u>IN VITRO MODEL FOR</u> <u>GASTROINTESTINAL INFLAMMATION</u> by the following inventor(s).

|                  | Family Name           | First Given Name         | Second Given Name                     |  |
|------------------|-----------------------|--------------------------|---------------------------------------|--|
| Full Name        |                       |                          |                                       |  |
| of Inventor      | COLLINS               | John                     | Kevin                                 |  |
|                  |                       | State or                 | Country of                            |  |
| City             |                       | Foreign Country          | Citizenship                           |  |
| Residence &      |                       |                          |                                       |  |
| Citizenship      | Cork                  | Ireland                  | Ireland                               |  |
|                  | Post Office           | Sta                      | e & Zip Code/                         |  |
|                  | Address               | City                     | Country                               |  |
| Post Office      |                       |                          |                                       |  |
| Address S        | our Hill, Doughcloyne | e, Cork, County Cork, Ir | reland                                |  |
| -                | •                     |                          | · · · · · · · · · · · · · · · · · · · |  |
|                  |                       |                          |                                       |  |
|                  | Family Name           | First Given Name         | Second Given Name                     |  |
| Full Name        |                       |                          |                                       |  |
| of Inventor      | O'MAHONY              | Liam                     |                                       |  |
|                  |                       | State or                 | Country of                            |  |
|                  | City                  | Foreign Country          | Citizenship                           |  |
| Residence &      | •                     |                          |                                       |  |
| Citizenship      | Cork                  | Ireland                  | Ireland                               |  |
| •                | Post Office           | Sta                      | ite & Zip Code/                       |  |
|                  | Address               | City                     | Country                               |  |
| Post Office      |                       | · ·                      |                                       |  |
| Address          | 41 Maryville Estate   | e, Ballintemple, Cork, C | County Cork, Ireland                  |  |
| [ ] additional s |                       | s information attached   |                                       |  |
|                  | . ,                   |                          |                                       |  |
| 1. [ ] A         | Second Preliminary    | Amendment is enclose     | ed.                                   |  |
|                  |                       |                          |                                       |  |
| 2. [X] C         | Cancel claim(s) 2-1   | 5 for purposes of les    | sening filing fees.                   |  |

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

## **CLAIMS**

| Basic Fee                        |   |        | \$385                    | \$770                  |
|----------------------------------|---|--------|--------------------------|------------------------|
| Total Claims                     | 1 | - 20 = | x 9 = \$                 | x 18 = \$              |
| Ind. Claims                      | 1 | - 3 =  | x 43 = \$                | x 86 = \$              |
| [ ] Multiple Dependent<br>Claims |   |        | +145 = \$<br>Total \$385 | + 290 = \$<br>Total \$ |

- The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
- 4. [X] This application is filed under Rule 53(f) and therefore the [X] Filing Fee [] Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 35 C.F.R. 1.16 fees previously made is revoked.
- 5. [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [X] Amend the specification by inserting before the first line the sentence:
   This is a Continuation of application Serial No. 10/173577 filed June 18, 2002;
  - [X] which in turn was a <u>Continuation</u> of application Serial No. <u>09/903690</u> filed <u>July 13, 2001;</u> which in turn was a 371 continuation of PCT/IE00/00009 filed January 17, 2000.
- 7. [X] A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:
  - a) [X] was claimed by way of a declaration filed in prior application Serial No. 09/903690.
  - b) [ ] is claimed by way of the attached declaration.
- 8. [X] Priority of application Serial No. <u>990033 and 990782</u>, filed on <u>January 15, 1999 and September 20, 1999, respectively</u> both in <u>Ireland</u> is claimed under 35 U.S.C. 119.
  - a) [X] Certified copy is on file in prior application, Serial No. 09/903690.
  - b) [ ] Certified copy filed herewith.
- 9. [X] The prior application is assigned of record to Enterprise Ireland (trading as BioResearch

| Ireland) | and | <b>National</b> | University | of \ | Ireland. | Cork. |
|----------|-----|-----------------|------------|------|----------|-------|
|          |     |                 |            |      |          |       |

10. [X] The Power of Attorney in the prior application is to at least one of the following:

John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,85l; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; William E. Player, 31,409; Yoon S. Ham, 45,307; and Nathaniel A. Humphries, 22,772.

- a) [X] The power appears in the original papers of the prior application.
- b) [ ] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- 11. [X] Petition to extend the life of the above prior application to at least the date hereof

| ( <u>One</u> box) | [X] is being concurrently filed in            |
|-------------------|---|
| NOTE:(must be)    | that prior application.                       |
| (X'd)             | [ ] was previously filed in that application. |
|                   | [ ] is not necessary.                         |

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

- 12. [ ] New formal drawings are enclosed.
- 13. [X] Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. <u>09/903690</u>, filed <u>July 13, 2001</u> which is relied on for an earlier filing date under 35 U.S.C. 120.
  - a) [X] A Second IDS was filed in Serial No. <u>10/173577</u>, filed <u>June 18, 2002</u> and a copy of each IDS, less the documents, are enclosed.
- 14. [X] Enclosed is a copy of the PTO 892 which issued in Serial No. <u>09/903690</u>, filed <u>July 13</u>, <u>2001</u> which is relied on for an earlier filing date under 35 U.S.C. 120.
  - a) [X] A second PTO 892 issued in Serial No. <u>10/173577</u>, filed <u>June 18, 2002</u> and copies of each PTO 892, less the documents, are enclosed.
- 15. [ ] Also enclosed is:

Address all future correspondence to:

Customer No. 00136 or JACOBSON HOLMAN PLLC 400 Seventh Street, N.W. Washington, D.C. 20004-2201 Telephone 202/638-6666

Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. <u>10/173577</u>, filed on <u>June 18, 2002</u>. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

Rv

John Q. Holman

Reg. No. 22,769

JACOBSON HOLMAN PLLC 400 Seventh Street, N.W.

Washington, D.C. 20004

(202) 638-6666

Signed this <u>29th</u> day of <u>March</u>, 2004

[X] Attorney or Agent of record

[ ] Filed under 37 CFR 1.34(a)

JCH-10/01 - 105